



Attorney Docket No. 0315000452

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

Group Art Unit:

Examiner:

Inventor(s): Roy J. Doecker et al.

Serial No.

Filed:

For: **COMPRESSOR PULSE WIDTH
MODULATION**

**ESTABLISHMENT OF
ASSIGNEE'S RIGHT TO
PROSECUTE APPLICATION
UNDER 37 C.F.R. § 3.73(b),
AND POWER OF ATTORNEY**

Hon. Commissioner Of Patents & Trademarks
Washington, D. C. 20231

Sir:

Under 37 C.F.R. § 3.73(b), the undersigned hereby states that documents evidencing an Assignment of the above-identified Application to the Assignee identified below have been reviewed, and further certifies that, to the best of Assignee's knowledge and belief, said Assignee is the owner of all right, title and interest in said Application:

Assignee: Copeland Corporation
a Delaware Corporation
1675 W. Campbell Road
Sidney, Ohio 45365-0669

Assignment Document: ☒ filed herewith.
☐ previously filed,
Reel No. _____, Frame No. _____.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these

statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Assignee furthermore hereby appoints Michael J. Schmidt, Reg. No. 34,007, and each other principal, attorney of counsel, associate and employee of Harness, Dickey & Pierce, P.L.C., who is a registered Patent Attorney, as attorney of record, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. I request the Patent and Trademark Office to direct all correspondence and telephone calls relative to this application to Harness, Dickey & Pierce, P.L.C., P. O. Box 828, Bloomfield Hills, Michigan 48303 (telephone 248-641-1600).

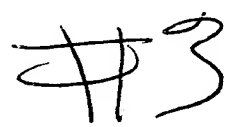
Jean-Luc Caillat

Name: Jean-Luc Caillat

Title: Vice President, Research

Date: November 1, 1999

Assignee: Copeland Corporation



Art Unit: 3746

Examiner:

Inventor: Roy J. Doepker et al.

Serial No: 09/401,343

Filed: September 21, 1999

For: **Compressor Pulse Width
Modulation**

Attorney Docket: 0315000452

) Attn: Application Processing Division
) Special Processing and
) Correspondence Branch

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on December 6, 1999

BY

Also enclosed for recordal is the Assignment of this application with attached cover sheet and an Establishment of Assignee's Right to Prosecute Application under 37 C.F.R. § 3.73(b), and Power of Attorney. Please record this Assignment and return it to us at your convenience.


Enclosed is our check in the amount of \$170.00 to cover the fees associated with the late filing of the Oath/Declaration (\$130.00) and the Assignment recordal fee (\$40.00).

If, for some reason, Applicants have not paid a sufficient fee to prevent the abandonment of this application, please charge our Deposit Account No. 08-0750 for any further fees which may be due. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

HARNESS, DICKEY & PIERCE, P.L.C.

December 6, 1995
Date


Michael J. Schmidt
Reg. No. 34,007

P.O. Box 828
Bloomfield Hills, MI 48303
(248) 641-1600

Attorney Docket No. 0315000452

0315-000452

m. par...

Due: 12/8/00


**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

 Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/001,343	9/21/99	DOEFKER	R 0315000452

0232/1008

 HARNESS DICKEY & PIERCE PLC
P O BOX 828
BLOOMFIELD HILLS MI 48303

NOT ASSIGNED

3746



DATE MAILED:

10/08/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐
- missing.
-
- ☐
- insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒
- is missing or unsigned.
-
- ☐
- does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202